

FORM PTO-1449

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY OCKET NO.	SERIAL NO.	
265/247	Not Yet Assigned	
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U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
13	AA	3,346,479	10/67	Natelson	204	301	04/09/64
1	AB	3,375,187	3/68	Buchler	204	301	05/13/65
	AC	3,533,933	10/70	Strauch	. 204	180	04/01/68
	AD	3,539,493	11/70	Dorman	204	299	08/31/67
	AE	3,616,454	10/71	Levy et al.	204	299	03/13/69
	AF	3,640,813	2/72	Nerenberg	204	299	06/09/69
	AG	3,697,405	10/72	K. Butter et al.	204	275	11/03/69
	AH	3,773,648	11/73	Van Welzen et al.	204	299	10/25/72
	AI	3,791,950	2/74	Allington	204	299	02/14/72
<u> </u>	AJ	3,902,986	9/75	Nees	204	299	04/23/73
<u></u>	AK	3,980,546	9/76	Caccavo	204	299	06/27/75
	AL	4,111,785	9/78	Roskam	204	180	05/16/77
<u> </u>	AM	4,390,403	06/28/83	Batchelder	204	547	
	AN	4,441,972	04/10/84	Pohl	204	180	04/08/83
	AO	4,479,861	10/84	Hediger	204	180	07/19/83
	AP	4,661,451	04/28/87	Hansen	435	174	
	AQ	4,699,706	10/87	Burd et al.	204	301	05/30/86
	AR	4,787,963	11/88	MacConnell	204	180	05/04/87
	AS	4,877,510	10/89	Chen	204	299	10/25/88
	AT	4,908,112	03/90	Pace	204	299	06/16/88
1	AU	5,126,022	06/92	Soane	204	180	02/28/90
13	AV	5,139,637	08/92	MacConnell	204	299	03/13/91

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APPLICANT:	,	_
Jing Cheng et al.		
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93	AW	5,151,189	09/92	Hu Et al.	204	635	09/17/9	0
	AX	5,161,165	09/92	Huynh	204	299	04/25/9	0
	AY	5,209,831	05/93	MacConnell	204	299	06/14/9	1
	AZ	5,217,593	6/93	MacConnell	204	299	07/30/9	2
	BÀ	5,269,931	12/93	Hu Et al.	210	635	08/20/9	1
	BB	5,340,499	08/94	Shukla	204	180	10/23/9	2
	BC	5,344,535	09/06/94	Betts Et al.	204	183.1	·	
	BD	5,376,249	12/94	Afeyan Et al.	204	180	11/25/9)2
	BE	5,382,511	01/95	Stapelton	435	6	08/24/9	2
	BF	5,434,049	07/95	Okano	435	6	02/24/9	3
	BG	5,451,500	9/95	Stapelton	435	6	03/03/9	2
	вн	5,569,367	10/29/96	Betts Et al.	204	547		
	BI	5,653,859	08/05/97	Parton Et al.	204	450	ļ	
	ВЈ	5,589,047	12/31/96	Coster Et al	204	450		···········
	BK	5,605,662	02/25/97	Heller Et al.	422	68.1		
	BL	5,795,457	08/18/98	Pethig Et al.	204	547	ļ.	
	BM	5,814,200	09/29/98	Pethig Et al.	204	547.		
93	BN	5,858,192	01/12/99	Becker Et al.	204	547		·····
		•	FOREIG	N PATENT DOCUMENTS			*	
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSL YES	NOITA NO
95	во	434985	1/75	Russia				
	BP	616568	7/78	Russia				
	BQ	DE 4400955 A1	06/29/95	Germany				X
95	BR	WO 93/05166	03/18/93	PCT	5		x	

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EXAMINER: Not Yet Assigned DATE CONSIDERED: 9/30/04

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LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

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265/24-	Not Yet Assigned
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FILING DATE:	GROUP:
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43	BS	WO 96/07917	03/14/96	PCT	5		x	
	BT	WO 93/05390	3/93	PCT Published				
	BU	WO 97/08293	3/97	PCT				
	BV	PCT/GB95/02024	<u>.</u>	PCT				
	BW	EP 0 471 949	2/92	EPO				
	BX	EP 0 544 969	6/93	EPO				
1	BY	EP 0 287 513	10/88	ЕРО		. :		
93	BZ	8021679	3/73	Japan				

		OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)
95	CA	Camag, Inc. Product Literature
	СВ	Fuhr et al., Positioning and Manipulation of Cells and Microparticles Using Miniaturized Electric Field Traps and Travelling Waves; Sensors and Materials, Vol. 7, No. 2 (1995) 131-146
	СС	"Dielectrophoretic Manipulation of Particles," Wang et al. IEEE Transactions on Industry Applications, Vol. 33, No. 3 (May/June 1997)
	CD	"Dielectrophoretic separation of bacteria using a conductivity gradient," Markx et al. Journal of Biotechnology, 51 (1996) 175 180
	CE	"Dielectrophoresis: Using Inhomogeneous AC Electrical Fields to Separate and Manipulate Cells," Ronald Pethig, Critical Reviews in Biotechnology, 16(4):331-348 (1996)
	CF	"Positive and negative dielectrophoretic collection of colloidal particles using interdigitated castellated mocroelectrodes," Pethig et al., J. Phys. D: Appl. Phys., 24 (1992) 881-888
	CG	"The removal of human leukemia cells from blood using interdigitated microelectrodes," Becker et al., J. Phys. D: Appl. Phys. 27 (1994) 2659-2662
95	СН	"Dielectrophoretic characterization and separation of micro-organisms", Markx et al., Microbiology (1994) 140, 585-591

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FORM PTO-1449	ATTY. DOCKE D. 612404-377 (formerly	SERIAL NO. 09/905.755
HISTOR PATENTS AND OTHER ITEMS FOR APPLICANT'S	265/247)	09/903,733
() F DEFORMATION DISCLOSURE STATEMENT	APPLICANT:	
	Cheng J.	-
SE 2 9 DIM (Use several sheets if necessary)	FILING DATE:	GROUP:
30 E	July 13, 2001	1753

TENTA TRADES		U.S.	PATENT DOCUMENTS			
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
95	3,627,137	12/14/1971	Bier	210	321	12/14/1971
<u> </u>	4,326,934	04/27/1982	Pohl	204	547	12/31/1979
	4,617,102	10/14/1986	Tomblin et al	204	299	03/21/1985
	4,737,259	04/12/1988	Ogawa et al	204	299	12/29/1986
	4,936,963	06/26/1990	Mandecki et al	204	182.8	05/23/1989
	5,078,853	01/07/1992	Manning et al	204	299	03/22/1991
	5,427,664	06/27/1995	Stoev et al	204	182.3	07/22/1993
	5,593,580	01/14/1997	Kopf	210	321.75	03/15/1995
	5,728,267	03/17/1998	Flaherty	210	321.67	11/15/1994
	5,849,486	12/15/1998	Heller et al.	435	6	09/27/1995
	6,017,696	01/25/2000	Heller	435	6	07/07/1994
	6,048,690	04/11/2000	Heller et al	435	6	05/14/1997
	6,051,380	04/18/2000	Sosnowski et al	435	6	12/05/1997
	6,071,394	06/06/2000	Cheng et al.	204	547	01/30/1998
	6,099,803	08/08/2000	Ackley et al.	422	68.1	02/20/1998
1 .	6,280,590	08/28/2001	Cheng et al.	204	463	04/13/2000
93	6,309,601	10/30/2001	Juncosa et al.	422	68.1	05/01/1997

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		FOREIGN	PATENT DOCUMENTS			
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INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	CLASS	YES NO
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EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant

Information Disclosure Statement - Section 9 PTO-1449

ATTY. DOCKE D. 612404-377 (formerly 265/247)	SERIAL NO. 09/905,755
APPLICANT: Cheng J.	
FILING DATE: July 13, 2001	GROUP: 1753
	612404-377 (formerly 265/247) APPLICANT: Cheng J. FILING DATE:

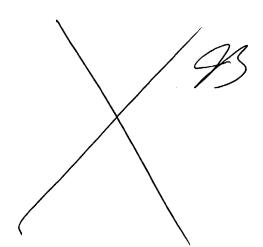
FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	· DATE	COUNTRY	CLASS	SUB CLASS	TRANS YES	LATIO NO
93	DE 2051715 A	04/27/72	Germany (Max Planck)				
	GB 2118975 A	11/09/83	GB (Nishizawa et al)				
	GB 1359944 A	07/17/94	GB (Miles Lab)				
95-	WO 01/13126 A1	02/22/01	PCT (Heller et al)				

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FORM PTO-1449  LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S	ATTY. DOCKE D. 612404-377 (formerly 265/247)	<b>SERIAL NO.</b> 09/905,755	
INFORMATION DISCLOSURE STATEMENT	APPLICANT: Cheng J.		
(Ne several sheets if necessary)	FILING DATE:	GROUP:	
4 200 12	July 13, 2001	1753	

THE THATTHAT	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)
93	CHENG, J. et al, "Preparation & Hybridization Analysis OF DNA/RNA From E.coli On Microfabricated Bioelectronic Chips", Nature/Biotechnology, vol. 16, 1998, 541-546.
	EDMAN, C.F. et al., "Electric field directed nucleic acid hybridization on microchips", Nucleic Acids Research, vol. 25, no. 24, 1997, 4907-4914.
	FUHR, G., "Cell Manipulation and Cultivation Under AC Electric Field Influence in Highly Conductive Culture Media", Biochem. Biophys. Acta, vol. 1158, 1993, 40-46.
	GILLES, P.N., et al, "Single Nucleotide Polymorphic Discrimination By An Electronic Dot Blot Assay On Semiconductor Chips", Nature/Biotechnology, vol. 17,-no. 4, 1999, 365-370.
	HELLER, M.J., "An Active Microelectronics Device For Multiplex Analysis", IEEE Engineering in Medicine & Biology, March/April 1996, 100-104.
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	MANZ, A., et al, "Miniaturized Total Chemical Analysis System: A Novel Concept For Chemical Sensing", Sensors & Actuators B1, 1990, 244-248.
	SCANNING LASER MICROSCOPY LAB, Web Site print-out, <a href="http://www.science.uwaterloo.ca/research_groups/confocal">http://www.science.uwaterloo.ca/research_groups/confocal</a> , 1997.
	SOSNOWSKI, R. et al., "Rapid determination of single base mismatch mutations in DNA hybrids by direct electric field control", Proc. Natl. Acad. Sci. USA, vol. 94, February 1997, 1119-1123.
	WANG, X., "A Unified Theory of Dielectrophoresis and Travelling Wave Dielectrophoresis", J. Phys. D: Appl. Phys., vol. 27, 1994, 1571-1574.
	WASHIZU, M., "Molecular Dielectrophoresis of Biopolymers", IEEE Trans. Industry Applicat., vol. 30, 1994, 835-843.
95	WILSON, T AND SHEPPARD, C., "Theory and Practice of Scanning Optical Microscopy", Academic Press, 1984 (ISBN-0-12-757760-2).



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#### **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT

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Complete if Known				
Application Number	09/905,755			
Filing Date	July 13, 2001			
First Named Inventor	Jing Cheng et al.			
Group Art Unit	1753			
Examiner Name	John S. Starsiak			
Attorney Docket Number 612404-377 (prev 265/247)				

Examiner Initials *	U.S. Patent Document		Name of Patentee or Applicant	B. 4. 48 48 48 48 48	
	Number	Kind Code ² (if known)	of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	
4	US-5445934		Fodor et al	08/29/95	
	US-5527670		Stanley	06/18/96	
	US-5653939		Hollis	08/05/97	
95	US-6054270		Southern	04/25/00	

Examiner	Fore	ign Patent Do	ocument	Name of Patentee or	Date of Publication of Cited	
Initials*	Office ³	Number ⁴	Kind Code ⁵ (if known)	Applicant of Cited Document	Document MM-DD-YYYY	T ₆
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¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.